

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Pasricha et al.

Group Art Unit: 1614

Serial No.: 09/834,110

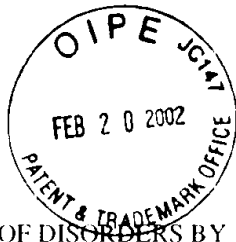
Examiner: Unknown

Confirmation No.: 1614

Docket No.: 265.00090101

Filed: April 12, 2001

Title: TREATMENT OF DISORDERS BY IMPLANTING STEM CELLS AND/OR PROGENY THEREOF INTO GASTROINTESTINAL ORGANS



COPY OF PAPERS  
ORIGINALLY FILED

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service  
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.
- ☐ An Information Disclosure Statement (\_\_ pgs); copies of \_\_ applications; 1449 forms (\_\_ pgs); and copies of \_\_ documents cited on the 1449 forms.
- ☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.
- ☒ Other: Request for Corrected Filing Receipt (4 pgs, including copy of Filing Receipt (1 pg) and Exhibit A (1 pg)).
- ☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$42 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$140 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service, Washington, D.C. 20231, on this 28th day of December, 2001.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813

By:   
Name: Ann M. Mueeting  
Reg. No.: 33,977  
Direct Dial: 612-305-1217  
Facsimile: 612-305-1228



PATENT  
Docket No. 265.00090101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Pasricha et al.	)	Group Art Unit:	1614
		)		
Serial No.:	09/834,110	)	Examiner:	Unknown
Confirmation No.:	5306	)		
Filed:	April 12, 2001	)		
		)		
For:	TREATMENT OF DISORDERS BY IMPLANTING STEM CELLS AND/OR PROGENY THEREOF INTO GASTROINTESTINAL ORGANS			

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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Sir:

Upon review of the Filing Receipt received from the U.S. Patent and Trademark Office in connection with the above-identified application, the following error was noted.

Specifically, at the time Applicants filed the Response to Notice to File Missing Parts, formal drawings accompanied said Response. The formal drawings included 5 sheets of drawings. It is respectfully requested that the Filing Receipt be corrected to reflect the number of formal drawings filed, which is "5". As evidence of said filing, a copy of the return postcard (Exhibit A), with a U.S. Patent and Trademark Office date stamp indicating that 5 sheets of formal drawings were filed and received by the U.S. Patent and Trademark Office, is included herewith. A copy of the Filing Receipt with this correction marked in red is also enclosed. Applicants respectfully request a corrected Filing Receipt be issued.

**Request for Corrected Filing Receipt**

Page 2 of 2

Applicant(s): Pasricha et al.

Serial No.: 09/834,110

Filed: April 12, 2001

For: TREATMENT OF DISORDERS BY IMPLANTING STEM CELLS AND/OR PROGENY THEREOF INTO GASTROINTESTINAL ORGANS

If the Examiner has any questions regarding this submission, please contact Applicants' Representative at the below-listed telephone number.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service, Washington, D.C. 20231, on this 28th day of December, 2001.

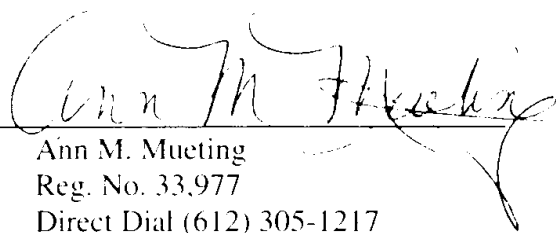
  
Ann M. Muetting

December 28, 2001  
Date

Respectfully submitted,

Pasricha et al.

By  
Muetting, Raasch & Gebhardt, P.A.  
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By:   
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Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant(s): Pasricha et al.

Serial No.: 09/834,110

Filed: April 12, 2001

Title: TREATMENT OF DISORDERS BY IMPLANTING STEM CELLS AND/OR PROGENY THEREOF INTO GASTROINTESTINAL ORGANS

Enclosed: Communication Re: Missing Parts (1 pg); A signed Declaration (4 pgs); Election Under 37 C.F.R. § 3.71, Revocation, Power of Attorney, and Certificate under §3.73(b) (4 pgs, including Executed Assignment (2 pgs);

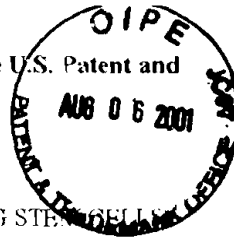
Submission of Drawings under 37 C.F.R. § 1.51 (1 pg); Formal Drawings (7 Figures on 5 sheets); copy of Notice to File Missing Parts (1 pg); and transmittal document (in triplicate). \*Please Note: Application Filing Fee, Add'l Claims Fee and

Missing Parts Surcharge Fee charged to PTO DEPOSIT ACCOUNT.

Mailed August 3, 2001

Docket: 265 0009 0101

AMM:gll

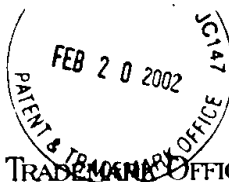


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EXHIBIT A



## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/834,110	04/12/2001	1614	460	265.0009 0101	5	20	4

CONFIRMATION NO. 5306

26813  
MUETING, RAASCH & GEBHARDT, P.A.  
P.O. BOX 581415  
MINNEAPOLIS, MN 55401

## UPDATED FILING RECEIPT



\*OC000000006779271\*

Date Mailed: 09/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Pankaj J. Pasricha, Houston, TX;  
Maria A. Micci, Dickinson, TX;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/196,806 04/13/2000  
AND CLAIMS BENEFIT OF 60/232,301 09/12/2000

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## Foreign Applications

If Required, Foreign Filing License Granted 06/06/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Treatment of disorders by implanting stem cells and/or progeny thereof into gastrointestinal organs

Preliminary Class

RECEIVED

OCT 01 2001

MUETING & RAASCH